



DRAFT GUIDANCE SUMMARY AND DRAFT CONSULTATION RESPONSE

Aim

- 1.1 On October 2014 the Scottish Government published a **preliminary** draft of the strategic commissioning process and is inviting comments by **Friday, 14th November 2014**. Final guidance will be issued in December (2014). It is intended that a series of more informal advice notes will sit alongside the guidance
- 1.2 This is the latest guidance to appear as a result of the Public Bodies (Joint Working) (Scotland) Act to legislate for the integration of adult social care and health care services. The intention behind the policy and legislative provision is the improvement of outcomes for people requiring care and support.
- 1.3 The Integration Authority, once established, must prepare a Strategic (Commissioning) Plan, which outlines how the Authority will meet the needs of the local population in the Borders, in accordance with the National Health & Wellbeing Outcomes. This must contain a financial plan for the delegated resources from both NHS Borders & Scottish Borders Council.

THE PRELIMINARY DRAFT GUIDANCE

- 2.1 The guidance document is broken down into five sections. The first two sections cover the Introduction and Background (paras 1-13). The remaining sections cover the Policy Context (paras 14-24), Strategic Commissioning (paras 25-31) and The Act (paras 32-81).
- 2.2 Below is a summary, in bullet point form, of the main items contained within the nineteen page document

Introduction (paras 1-10)

- 3.1 Effective strategic commissioning is expected to be the cornerstone of successful integration of adult health and social care services
 - 'through the strategic commissioning process that the national health and wellbeing outcomes will be delivered and the required shift in the balance of care delivered will be achieved' para 3.

Background (paras 11-13)

- 4.1 The Act requires each Integration Authority to draw up a strategic plan for their area.

- 'As an integral part of the strategic commissioning process, locality planning will require the Integration Authority to make suitable arrangements to consult and plan locally for the needs of its population. This will require structures and processes that enable local people, local clinicians and professional Leaders, such as GPs, to have a strong voice and core role' para.12
- The minimum range of hospital services that will be required to be included within scope of the strategic plan (as set out in regulations) are those that offer the best opportunity for improvement under integration. Integration Authorities will be responsible for strategic planning, in partnership with the hospital sector; of those hospital services most commonly associated with the emergency care pathway, along with primary and community health care and social care?

Policy Context (paras 14-23)

5.1 Strategic Plans should be based on:-

- The 4 pillars of public sector reform outlined in report of Christie Commission
- The 4 key messages from Audit Scotland regarding the Commissioning of Social Care
- Taking into account the priorities of the 2020 Vision for Health and Social Care
- The self-directed support (SDS) scheme
- Use of technology – enabled care (e.g. video conferencing, telehealth, telecare and mobile health and wellbeing)

5.2 A good plan should:-

- Identify total resources available across health and social care (including carers) and relate this information to the needs of local populations
- Agree desired outcomes and link investment to them
- Assure sound clinical and care governance is embedded
- Selecting and prioritising investment and disinvestment decisions
- Closely reflect the needs and plans at locality level
- Take account of the 3 step Improvement Framework for Scotland Public Services

Strategic Commissioning (paras 25-31)

6.1 This is the term used for all the activities involved in assessing and forecasting needs. This links investment to agreed desired outcomes, considering options, planning the nature, range and quality of future services and working in partnership to put these in place

6.2 Acknowledges the importance of using the model i.e. **analyse, plan, do and review**

The Act (paras 31-81)

7.1 This section outlines the main legislative requirements that require to be addressed:-

7.2 Requirement to prepare strategic plan i.e. 2 x mandatory elements

- Arrangements for carrying out integration functions, as a whole and by locality
- The arrangements for carrying out the functions intended to achieve or contribute towards the national health and wellbeing outcomes
- The first strategic plan must be prepared before the integration start date

Consideration in preparing strategic plans (paras 35-38)

8.1 The SP is required to take account of:

- The integration delivery principles
- The national health and wellbeing outcomes
- Other strategic plans, policy directions e.g. SOA, NHS Delivery Plan, Housing Strategies, NHS Clinical Strategies, Community and other corporate plans
- Duty of Best Value

Establishment of Strategic Planning Group (paras 41-55)

9.1 There is a statutory obligation to establish a strategic planning group for the purposes of preparing the strategic plan

- Details of prescribed membership (subject to change upon publication of final regulations)
- Views of localities must be represented within this group including clinicians and care professionals
- Acknowledgement of contribution Third Sector can and should make to this group e.g. through Third Sector Interfaces (TSI's) e.g. The Bridge Project
- The housing sector is recognised as bringing a range of distinct opportunities to strengthen the connections between housing and health and social care
- Outline of resources available to develop and support workforce on joint strategic commissioning i.e. 'A Learning Development Framework (IPC) and JIT

Preparation of Strategic Plan (paras 56 to 62)

10.1 The SP Group is expected to be involved in the development of the SP process from the outset

10.2 The Integration Authority is required to prepare proposals about content, consult Strategic Planning Group on such and then prepare first draft of SP.

- Following consultation with SPG a second draft is put together and circulated more widely to interested stakeholders (must include SBC and NHS Borders and representatives of groups prescribed by Scottish Ministers)
- Importance of an agreed communication and engagement plan at an early stage. Using a wider range of consultation methods and techniques.

Provision of information for purpose of preparing Strategic Plan (paras 63-67)

11.1 There is an expectation of the sharing of information for the purpose of preparing the Strategic Plan

- NHS National Services Scotland (NSS) to develop linked health and social care datasets to support Partnerships
- Joint Strategic Needs Assessments (JSNA) to analyse the needs of local populations and to inform and guide the commissioning of health, wellbeing and social care services within the Borders
- Expectation that any redesign process has the widest possible equipment and that Partnerships use transparent option appraisal process to support any major investment and disinvestment decisions

Publication of Strategic Plans (paras 68-69)

- 12.1 Partnerships have a duty to publish strategic plans including the nature and level of consultation that took place in developing the plan
- In addition to publication there is an expectation that the final plan will include an implementation plan

Review of Strategic Plan (paras 71-74)

- 13.1 An Integration Authority is required to review its strategic plan at least every three years
- In carrying out a review of the SP there must be consideration of
 - National health and wellbeing outcomes
 - The indicators associated with the national outcomes
 - Integration delivery principles
 - Views of the strategic planning groups
 - Expected use of Performance Measurement Framework to assess whether aims being achieved and use of Risk and Issue Logs to chart risks and emerging from ongoing JSNA process

Strategic Plan = Annual Financial Statement (para 76)

- 14.1 The Partnership must publish an annual financial statement upon publication of its first strategic plan, and every year after that
- The financial statement must set out the total resources that the Integration Authority intends to allocate under the provisions of the SP

Scrutiny (paras 77-81)

- 15.1 The Public Bodies (Joint Working) (Scotland) Act 2014 provides an extension of the remit of the Social Care and Social Work Improvement Scotland and Health Care Scotland to inspect the planning organisation or co-ordination the services that Health Boards or local authorities delegate to Integration Authorities.
- Both of the above bodies are able to inspect health and social care services for the purpose of reviewing and evaluating how the planning and provision of services is contributing to the achievement of the outcomes. This includes benchmarking between partnership areas.

- HIS and Social Care and Social Work Improvement Scotland may jointly conduct an investigation into a service provided by the integration scheme and also a local authority, Health Board or Integration Joint Board in relation to a SP.

Recommendation

16.1 The Integration Shadow Board is asked to **note** the consultation response given the tight deadline for response.

Policy/Strategy Implications	As detailed with the paper
Consultation	None due to time constraints
Risk Assessment	As detailed with the paper
Compliance with requirements on Equality and Diversity	As detailed with the paper
Resource/Staffing Implications	As detailed with the paper

Approved by

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